



Attorney's Docket No.: 12372-002001

Section #  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yutaka Mizushima et al.      Art Unit : 1614  
Serial No. : 09/834,103      Examiner : Unknown  
Filed : April 12, 2001  
Title : SUSTAINED RELEASE DRUG COMPOSITIONS

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed June 7, 2001 (copy enclosed), applicant as a small entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$355;
- ☒ Payment of the additional/multiple dependent claims fees of \$274;
- ☒ Check in payment of \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

August 7, 2001

Signature

Maria Reen

Typed or Printed Name of Person Signing Certificate

Maria Reen


Applicant : Yutaka Mizushima et al.  
Serial No. : 09/834,103  
Filed : April 12, 2001  
Page : 2

Attorney's Docket No.: 12372-002001

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: August 7, 2001

  
\_\_\_\_\_  
Jeffrey D. Hsi  
Reg. No. 40,024

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/834,103	04/12/2001	Yutaka Mizushima	12372-0022001

CONFIRMATION NO. 5320

## FORMALITIES LETTER



\*OC000000006156513\*

Y. ROCKY TSAO  
Fish & Richardson PC  
225 Franklin Street  
Boston, MA 02110-2804

Date Mailed: 06/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$548.
  - \$468 for 26 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1388.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/14/2001 AWBNDPAF1 000000026 09834103  
355.00 OP  
65.00 OP  
234.00 OP  
40.00 OP  
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02 00:205  
02 00:203  
01 00:202



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8-24-01

Attorney's Docket No.: 12372-002001

Receipt  
H9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yutaka Mizushima et al.      Art Unit : 1614  
Serial No. : 09/834,103      Examiner : Unknown  
Filed : April 12, 2001  
Title : SUSTAINED RELEASE DRUG COMPOSITIONS

Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Please correct the Filing Receipt for the above-referenced application to include the correct attorney docket number, 12372-002001.

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date: August 7, 2001

Jeffrey D. Hsi  
Reg. No. 40,024

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225 Franklin Street  
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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

Signature

Typed or Printed Name of Person Signing Certificate

August 7, 2001  
Maria Reen  
Maria Reen

#21/21 TL



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/834,103	04/12/2001	1614	0.00	12372- <del>0021001</del> 002001	9	46	4

CONFIRMATION NO. 5320

## FILING RECEIPT



\*OC000000006156512\*

Y. ROCKY TSAO  
Fish & Richardson PC  
225 Franklin Street  
Boston, MA 02110-2804

Date Mailed: 06/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Yutaka Mizushima, Tokyo, JAPAN;  
Rie Igarashi, Kawasaki-city, JAPAN;  
Aki Kitagawa, Yokohama-city, JAPAN;  
Yukie Takagi, Kawasaki-city, JAPAN;

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2000-115091 04/17/2000  
JAPAN 2000-203850 07/05/2000

If Required, Foreign Filing License Granted 06/06/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Kjm

6/12/01

Sustained release drug compositions

Preliminary Class

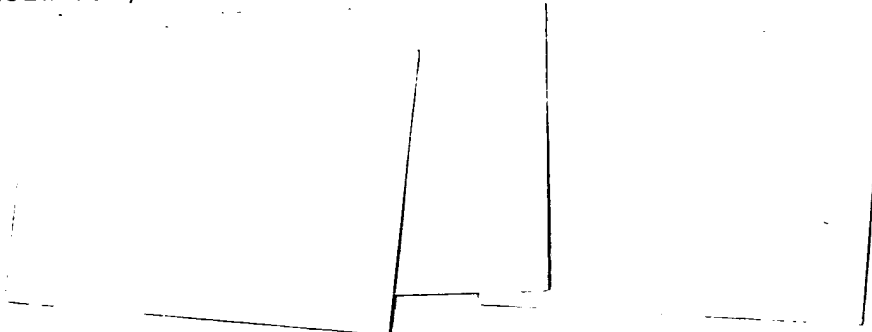
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Data entry by : ROBINSON, FELICIA

Team : OIPE

Date: 06/07/2001



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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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